

DT14 Rec'd PCT/PTO 14 JUL 2003

Attorney Docket No. P63142US1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Roger NITSCH et al.

Application No.: 09/806,509

Art Unit: 1645

Filed: October 22, 1999

For METHODS OF DIAGNOSING OR PROGNOSING ALZHEIMER'S DISEASE

## TRANSMITTAL

Mail Stop Sequence  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith please find:


- ☒ response under Rule 821(g), including PTO Notice (copy), Sequence Listing, CRF, and Amendment entering Sequence Listing.
- ☐ \*
- ☐ Petition for extension of time
- ☐ Fee payment ☐ A check for \$ \* is attached.
- ☐ Charge \$ \* to Deposit Account No. 06-1358.

Fee Calculation					
Excess Claims					
	Nº of Claims	Highest Nº Paid For	Excess Claims	Small Entity Fee	Large Entity Fee
Total	*	⊖ * =	0	⊗ \$9 = \$	⊗ \$18 = \$
Ind.	*	⊖ * =	0	⊗ \$42 = \$	⊗ \$84 = \$
[ ] Multiple Dependent Claims (1 <sup>st</sup> Filing)				⊕ \$140 =	⊕ \$280 = \$
Excess Claims Fee				\$	\$
Extension of Time Fee				\$	\$
Other:				\$	\$
Total Fee Due				\$0	\$0

- ☒ If a petition for extension of time is necessary, but not enclosed, then this acts as the petition. Charge any fees additionally necessary in connection herewith to Deposit Account No. 06-1358.

JACOBSON HOLMAN PLLC  
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Tel. (202) 638-6666  
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Date: July 14, 2003

By

  
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Registration No. 31,409

6-17-03  
6-17-03

WEP

Page 1 of 2  
P63142US1



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
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Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
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www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/806,509	Roger Nitsch	P63142US1

00136  
JACOBSON HOLMAN PLLC  
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SUITE 500  
WASHINGTON, DC 20004

JACOBSON HOLMAN PLLC

Response Due On Or Before  
71 12 03  
Month Day Year

INTERNATIONAL APPLICATION NO.	
PCT/EP99/08023	
I.A. FILING DATE	PRIORITY DATE
10/22/1999	01/26/1999

CONFIRMATION NO. 9340  
371 FORMALITIES LETTER



\*OC000000010244742\*

Date Mailed: 06/12/2003

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- Priority Document
- Original Specification filed on 07/23/2001
- Drawings filed on 07/23/2001
- Claims filed on 07/23/2001
- Oath or Declaration filed on 07/23/2001
- Initial Application Filing Fees filed on 07/23/2001
- Copy of the International Application filed on 07/23/2001
- Copy of the International Search Report filed on 07/23/2001
- Copy of IPE Report filed on 07/23/2001
- Preliminary Amendments filed on 07/23/2001
- Information Disclosure Statements filed on 07/23/2001
- Biochemical Sequence Diskette filed on 12/04/2002
- Oath or Declaration filed on 07/23/2001
- Biochemical Sequence Listing filed on 01/11/2002
- Request for Immediate Examination filed on 07/23/2001
- Copy of references cited in ISR filed on 07/23/2001
- U.S. Basic National Fees filed on 07/23/2001

Applicant's response filed 01/11/2002 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 10/11/2001 have not been completed.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under

**37 CFR 1.136(a).**

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
  - RAW SEQUENCE LISTING ERROR REPORT
  - APPLICANT MUST PROVIDE:
    - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
    - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase PatentIn Software, call (703) 306-2600
  - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

DEBORAH D WILLIAMS

Telephone: (703) 305-3744

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/806,509	PCT/EP99/08023	P63142US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Roger NITSCH et al.

Application No.: 09/806,509

Art Unit: 1645

Filed: October 22, 1999

For METHODS OF DIAGNOSING OR PROGNOSING ALZHEIMER'S DISEASE

**RESPONSE UNDER 37 CFR 1.821(g)**

Mail Stop Sequence  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the PTO notice in connection 37 CFR 1.182(g), a copy of which notice is attached, hereto, submitted herewith are:

- 1) computer readable form (CRF) of the Sequence Listing;
- 2) paper copy of the Sequence Listing; and
- 3) amendment entering the Sequence Listing.

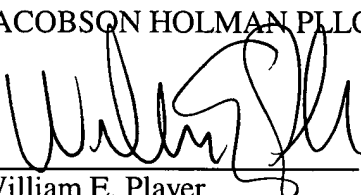
The content of the CRF and the paper copy are the same and includes no new matter.

Favorable action is requested.

Respectfully submitted,

JACOBSON HOLMAN PLLC

By

  
\_\_\_\_\_  
William E. Player  
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